PART C – PROPOSAL FORM

Title of the contract	Design and develop an AI-based assessment software platform to streamline and standardize the evaluation of impact potential for financial products
Internal reference No.	SFO/2025/OP/01/SF3.0
Name of the Applicant	(Specify)
Name of the person authorised to submit the Proposal	(Specify)
Position/Job title	(Specify)
Signature	(Specify)
Place	(Specify)
Date	(Specify)

1. IDENTIFICATION OF THE APPLICANT

Acting in the capacity of:

☐ member of a joint application

☐ single applicant

If joint application:

- The group leader shall complete the **Identity Table** and the **Declaration Table**.
- Each member of the group submitting a joint application (except the group leader) shall complete the **Member Identity Table**.

If single applicant, please complete the **Identity Table** and the **Declaration Table**.

Identity Table		Answer
Official name of Applicant in full		
Official legal form		
Country of registration		
Statutory registration number		
VAT registration number		
Official address of Applicant in full		
Internet address		
Authorised representative	Name in full	
	Function/Position	
	Email	
	Phone number	

Member Identity table. *Please replicate this table for each member of the joint application.*		Answer
Name of the company		
Official legal form		
Country of registration		
Statutory registration number		
Official address		
Internet address		
Authorised representative	Name in full	
	Function/Position	

Declaration by the authorised representative	
I, the undersigned, certify that the information given in this Application is correct and that I accept the conditions set out in this Competition.	
Name in full and title	
Function/Position	
Date and place	
Signature	

2. APPLICATION FORM – TEMPLATE

UNDERSTANDING OF PROJECT SCOPE & FEASIBILITY (CRITERION 1. NO LIMIT OF PAGES).
- Explain how your proposal reflects the scope of the AI-driven assessment platform and its components.
- Present a coherent and feasible implementation approach, including the overall strategy for delivering the project.
- Demonstrate the feasibility of your approach by aligning the proposed ambitions with the available budget.
- Provide a credible timeline with defined phases and milestones, showing how the project will be delivered successfully within the planned schedule.

Insert text

TECHNICAL ARCHITECTURE & SYSTEM DESIGN (CRITERION 2. NO LIMIT OF PAGES)
- Explain how your solution will ensure robustness, scalability, and modernity of the proposed cloud-native modular monolith architecture.
- Describe the security measures implemented, including GDPR compliance, role-based access control, encryption, and audit logging.
- Demonstrate how the system will ensure interoperability through standard APIs and how it will establish authentication frameworks (e.g., OAuth2, SAML).
- Explain how maintainability will be supported through CI/CD pipelines, automated testing, monitoring, and comprehensive developer documentation.

Insert text

DATA MANAGEMENT (CRITERION 3. NO LIMIT OF PAGES)
- Explain how your solution will implement reliable, secure, and efficient data ingestion and processing workflows.
- Describe how web crawling will retrieve ISIN-linked documents.
- Explain how the user upload interface will ensure data quality, including metadata tagging and deduplication processes.
- Describe how the pre-evaluation module will identify data gaps and inconsistencies.
- Highlight how your solution will ensure data quality, automation, traceability, and scalability.

Insert text

QUESTIONNAIRE AND AI SYSTEM, INCLUDING HUMAN INTERACTION (CRITERION 4. NO LIMIT OF PAGES)

- Explain the conceptual design and technical feasibility of the questionnaire system, including conditional logic, inter-product relationships, and export capabilities.
- Demonstrate the flexibility of the questionnaire builder and how questions will be mapped to scoring rules.
- Describe how human-AI interaction will be supported, including workflows that will integrate AI modules (e.g., web-crawled data analysis, questionnaire response analysis, automated scoring) with auditor and client review processes.
- Explain how the system will support iterative feedback loops, notifications, and full auditability.

Insert text

OUTPUTS (DATABASE AND REPORTS) (CRITERION 5. NO LIMIT OF PAGES)

- Explain how your solution will generate configurable, professional-quality reports in formats such as PDF, Word, and XLSX.
- Describe the design of the database structure, including how it will support data retrieval, export, and long-term storage of assessment data.
- Highlight how your solution will ensure robustness, clarity, modularity, and future extensibility.

Insert text

USER ROLES & PERMISSIONS (CRITERION 6. NO LIMIT OF PAGES)

- Explain how your solution will implement the required user roles, including clients, auditors, chief auditors, and administrators.
- Describe how permission boundaries will be defined and enforced within the platform.
- Explain the security measures that will be applied, including multi-factor authentication and the handling of sensitive data.
- Demonstrate how the user rights model will be integrated into platform workflows, ensuring both security and usability.

Insert text

CRM, ADMINISTRATION & E-MAIL INTEGRATION (CRITERION 7. NO LIMIT OF PAGES)

- Explain how your solution will provide comprehensive and user-friendly administrative features, including CRM functionality, invoicing, and user management.
- Describe the approach to outbound email templates and automated notifications, as well as any optional inbound email processing.
- Demonstrate how the solution will enable efficient administrative workflows.
- Explain how these features will be integrated with the overall platform architecture.

Insert text

PROJECT MANAGEMENT & DELIVERY (CRITERION 8. NO LIMIT OF PAGES)

- Explain the proposed delivery methodology, including sprint-based planning, modular feature releases, and iterative coordination.
- Provide a realistic timeline with defined milestones and phases, demonstrating how the project will be delivered successfully within the planned schedule.
- Identify potential risks and describe mitigation strategies.
- Highlight the relevant expertise, experience, and organizational capacity of the project team.

Insert text

OVERALL QUALITY (CRITERION 9. NO LIMIT OF PAGES)

- Explain how the proposal demonstrates clarity, structure, and completeness.
- Highlight the quality of documentation, technical soundness, and any innovative elements or added value.
- Describe how the proposal addresses the project's long-term objectives and strategic importance.

Insert text

3. PRICING FORM – TEMPLATE

- The quoted price shall be that amount which is necessary to carry out all of the work required to satisfy the requirements set out in this Competition; this sum shall include all costs.
- The price must be expressed in EURO only and inclusive of VAT.
- The price must be all-inclusive, i.e. including but not being limited: staff cost, travel & subsistence costs for meetings, administrative costs, profit margin and all other costs/expenses.
- A breakdown of these costs should be provided according to how your company conducts such an undertaking.

CHECKLIST

Checklist of the required documents.

N.	Document	Check			
1	Cover page of the document *Part C – Proposal Form* duly completed.	Yes	☐	No	☐
2	Identity and declaration table duly completed and signed (Section 1 of the document *Part C – Proposal Form*).	Yes	☐	No	☐
3	Application Form duly completed (Section 2 of the document *Part C – Proposal Form*).	Yes	☐	No	☐
4	Pricing sufficiently detailed (Section 3 of the document *Part C – Proposal Form*).	Yes	☐	No	☐
5	Legal identification document or Organization's registration certificate. In case of joint proposal, the legal identification document or organization's registration certificate by the group leader **and** each group member.	Yes	☐	No	☐
6	Tax registration certificate of the Applicant. In case of joint proposal, the tax registration certificate of the group leader and each group member.	Yes	☐	No	☐
7	The audited financial statements of the Applicant for the past two years to demonstrate financial capability to carry out the engagement to completion. In case of joint proposal, the audited financial statements of the group leader **and** each group member must be provided.	Yes	☐	No	☐